



Information about personal data processing Shareholders of the Bratislava Stock Exchange and their representatives

The purpose of this document is to provide information within the meaning of REGULATION (EU) 2016/679 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL OF 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (the “GDPR” hereinafter) and Act No. 18/2018 (Coll.) on the Protection of Personal Data and on Amendments to Related Laws as amended (the “Personal Data Protection Act” hereinafter) about personal data of Bratislava Stock Exchange’s shareholders, and eventually their representatives.

1. IDENTIFICATION DATA AND CONTACT DATA OF THE CONTROLLER:

Bratislava Stock Exchange j.s.c., registered seat at Vysoká 17, Bratislava 811 06, Slovak Republic, IČO 00 604 054, registered in the Business Register of the City Court Bratislava III, Section Sa, Insert No. 117/B, e-mail: bsse@bsse.sk, telephone: +421-2-4923 6102 (“BSSE” or the “Controller” hereinafter).

2. CONTACT INFORMATION OF THE DATA PROTECTION OFFICER:

Mgr. Lucia Focková, Data Protection Officer, e-mail: fockova@bsse.sk, telephone: +421-2-4923 6301

3. PURPOSE, LEGAL BASIS AND SCOPE OF THE PROCESSING OF PERSONAL DATA

The purpose of the processing of personal data is to maintain the list of shareholders in order to ensure the shareholder’s rights (including right to attend the general meeting of BSSE) in compliance with the Act No 513/1991 (Coll.) Commercial Code as amended by later legislation, in connection with the Act No 566/2001 (Coll.) on Securities and Investment Services and on Amendments and Supplements to Related Laws (the Securities Act) as amended by later legislation, and the Act No. 429/2002 (Coll.) on the Stock Exchange as amended by later legislation.

BSSE processes personal data in order to ensure and fulfil obligations resulting from the relationship between the BSSE and the shareholder, in particular for the purposes of attendance of the general meeting and execution of rights and obligations at the general meeting (attending and voting at the BSSE general meeting, dividend pay-out) in connection with the Securities Act.

BSSE processes personal data solely on the basis of legal provisions as stipulated in the Regulation or the Personal Data Protection Act, on the legal basis resulting from the aforementioned separate regulations (Article 6 Section 1 Subsection c) of the Regulation).

BSSE processes personal data of data subjects of shareholders, statutory representatives of the shareholders, and persons authorized by shareholders to represent the shareholders at general meetings for dividend pay-out. BSSE processes personal data on the shareholders in the scope provided by the Central Securities Depository of the Slovak Republic, bank details as well as other data in compliance with the rules of dividend pay-out, personal data of the authorized person/statutory representative of the shareholder in the scope of personal data specified in the power of attorney, in the attendance list, in the minutes of the general meeting, in the application for dividend pay-out, in the transcript from the Business Register or transcript from other register in which the shareholder is registered.

BSSE processes personal data to the extent strictly necessary. BSSE is required to process personal data in order to meet all legal obligations; it is not feasible, without personal data to keep the register of shareholders and to ensure obligations resulting from relationship between the BSSE and the shareholder. BSSE processes personal data also in compliance with, and for the purposes of, § 78 Section 8 of the Personal Data Protection Act.

4. PERSONS WITH ACCESS TO PERSONAL DATA

BSSE has the legal obligation to provide personal data for inspection, supervisory activity, or at the request of competent authorities of the state or institutions if it results from separate regulations (e.g. the Act No. 429/2002 (Coll.) on the Stock Exchange as amended by later legislation, the Act No. 171/1993 (Coll.) on the Police Force as amended by later legislation, the Act on Personal Data Protection and on Amendments and Supplements to Related Laws and the like.)

In order for BSSE to meet its obligations, personal data may be made available in the necessary extent to selected subjects, as for example BSSE shareholders, service companies, IT companies co-operating with BSSE, law firms, notaries, the data protection officer, tax and accounting advisors, external auditors, private security service and other persons who are necessary for BSSE's proper operation. When choosing contractual partners, BSSE pays due attention to their reliability. A contract with a contractual partner always stipulates the rules for personal data protection.

Personal data will be safely stored, in accordance with the security policy of the Controller and the Processors, and only for the time necessary to fulfil the purpose of processing. Access to personal data will be granted solely to persons authorized by the Controller, which (the persons) process personal data based on the Controller's instructions and in accordance with the Controller's security policy.

Personal data is not subject to automated decision-making, including profiling. BSSE does not disclose any personal data, and does not transfer personal data to third countries or international organizations.

5. THE PERIOD FOR WHICH THE PERSONAL DATA WILL BE STORED

BSSE will process and store personal data for the period of existence of the relationship between the BSSE and BSSE's shareholder or, respectively, a representative of a BSSE shareholder and for the period necessary for the fulfilment of rights and obligations of the BSSE, resulting from this relationship and from relevant legal regulations.

Documents become part of the registry record, and they will be handled at the time and in the manner stipulated by provisions of the Act No.395/2002 (Coll.) on Archives and Registries. Once these times have elapsed, personal data will be discarded (erased), unless there is another legal reason for their processing (application of legal claims, litigations and the like). Due to the fact that the records from general meetings (the list of present shareholders together with the power of attorney and the transcript from the Business Register are part of the minutes) have a permanent documentary value, after 10 years from their production they are transferred to permanent archive care of the Slovak National Archives.

6. RIGHTS ASSOCIATED WITH THE PERSONAL DATA PROVIDED

Data subjects have the right to contact BSSE and the data protection officer and request access to personal data (to obtain information whether personal data is being processed), the right to be informed about the source from which the personal data come), the right to restrict processing of personal data, the right to object the processing of personal data (if personal data is not processed with prior consent), the right to erasure, the right to data portability (if technically feasible), the right to withdraw consent, the right to lodge a complaint with the Office for Personal Data Protection of the Slovak Republic.

In case of any questions regarding the processing of personal data, please contact BSSE via mail at: bsse@bsse.sk, by telephone at: +421-2-4923 6102 or contact the data protection officer.

Further information about the processing of personal data by BSSE can be found on BSSE's website, under the *Personal Data Protection* tab.